# **TO BE UPDATED FOR 2023**

# Indo-Pacific Economic Diplomacy Fall 2022

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ASST 5062 Mondays, 6:30-9:00pm

Office Hours by Appointment (Zoom or phone)

**Description:** This seminar will tackle the policy approaches that the nations of the Indo-Pacific region have taken externally toward one another to enhance their own nation's economic growth and development. The emphasis will be on economic statecraft and national strategies, while also addressing the tactics of diplomacy and negotiation. Topics will range from trade negotiations to economic sanctions to technology friction to plurilateral diplomatic "architecture," with closest examination given to the motivations and strategies of the United States, China, Japan and the ASEAN governments. There are no specific prerequisites, but students are encouraged to come to the seminar with an interest in economic diplomacy and a basic working knowledge of the history and politics of Indo-Pacific countries.

A typical session will consist of three elements: a lecture by the instructor or a guest lecturer; student presentations; and group discussion.

## **Requirements:**

- Completion of **reading assignments and active participation** in class discussion on the questions considered each week.
- Preparation of **three short (1,200-word) policy memoranda** relevant to the intergovernmental initiatives and topics listed in the syllabus below and submitted prior to the class that will discuss that general topic. The specific policy question covered by each memorandum will be *proposed by the student*, and approved by the instructor, by e-mail, by the end of the day on the Wednesday prior to its submission and submitted by 9:00 AM on the Monday covering that topic. Students can choose which weeks to complete and submit the memoranda, but should have completed at least one by the end of September, two by the end of October, and three by the end of November. The student can address the memorandum to a government leader of *any government in the region* that has equity in the problem being examined. The policy memorandum should state the problem; explain the background; address the pros and cons of various options; and make specific recommendations for action. The emphasis is on strategies and

- tactics for international economic diplomacy rather than domestic economic policy.
- Completion of **one oral presentation** (15 minutes, plus 15 minutes Q&A) delivered to the class on an aspect of one of the weekly topics considered, as outlined in the syllabus below. The rough outline for the presentation should be considered in advance with the instructor, so as to complement the instructor's lecture. Assessment will be based on clarity and effectiveness of argumentation, supported by facts and evidence.
- In lieu of a final exam, completion of **one longer (3,000-word) free research essay**, on a topic of relevance to the course, selected via a proposal and consultation with the instructor. The essay will be due on December 17, and should reflect meaningful original thought and research drawing from both primary and secondary sources.

**Grading:** Grades will be determined by the following four elements, roughly equally weighted: 1) individual performance in the three short written assignments; 2) the quality of the individual oral presentation; 3) class participation; and 4) the final writing assignment.

Assigned readings for course are included below. The syllabus may be updated as the course progresses.

#### Flow of the Seminar:

#### <u>August 29</u> – *Introductions and Course Overview*

- (skim) Japan's Decision for War In 1941: Some Enduring Lessons, Jeffrey Record, February 2019 (<a href="https://www.hsdl.org/?view&did=38470">https://www.hsdl.org/?view&did=38470</a>)
- (skim) "From Freeze to Fire: How Economic Sanctions against Japan Led to the War in the Pacific,"
  Mitch Rogers, 2018
  (<a href="https://scholarsarchive.byu.edu/cgi/viewcontent.cgi?article=1011&context=thetean">https://scholarsarchive.byu.edu/cgi/viewcontent.cgi?article=1011&context=thetean</a>)
- Deepa Gopalan Wadwha, "The Importance of Economic Diplomacy in India, Speech to India Institute of Management, June 2019 (<a href="https://mea.gov.in/distinguished-lectures-detail.htm?827">https://mea.gov.in/distinguished-lectures-detail.htm?827</a>)
  - Outline of the seminar preview of key themes
  - o Individual and collective goals for the seminar
  - o Assignments and scheduling

<u>September 12</u> – Regional Trade Architecture: TPP vs. RCEP

Student presentations: Peter Borup, Gaurav Sansanwal

- PIIE Working Paper 20-9: "East Asia Decouples from the United States: Trade War, COVID-19, and East Asia's New Trade Blocs," Peter A. Petri and Michael G. Plummer, June 2020 (https://www.piie.com/system/files/documents/wp20-9.pdf)
- East-West Center Publication: "The Regional Comprehensive Economic Partnership Negotiation," chapters 7-11, pp. 93-149, 2013
   (<a href="https://www.eastwestcenter.org/sites/default/files/filemanager/pubs/pdfs/NewDirections/NewDirections-04rcepnegotiation.pdf">https://www.eastwestcenter.org/sites/default/files/filemanager/pubs/pdfs/NewDirections/NewDirections-04rcepnegotiation.pdf</a>)
- Nayan Chanda, "Go Back to RCEP," Times of India, 16 November 2019
   (https://timesofindia.indiatimes.com/blogs/toi-edit-page/go-back-to-rcep-india-should-not-succumbagain-to-the-export-pessimism-of-licence-raj-days/)
- Fact Sheet: Unlocking Economic Opportunity for Ohio Through Trade, USTR, October 2014 (<a href="https://ustr.gov/about-us/policy-offices/press-office/fact-sheets/2014/October/FACT-SHEET-Unlocking-Economic-Opportunity-for-Ohio-Through-Trade">https://ustr.gov/about-us/policy-offices/press-office/fact-sheets/2014/October/FACT-SHEET-Unlocking-Economic-Opportunity-for-Ohio-Through-Trade</a>)
  - Relative merits of the "gold standard" and least common denominator approaches
     Content and objectives
  - o Strategies for great powers, middle powers and small powers

## **September 19** – Plurilateral Cooperation Groupings: APEC, ASEAN and SCO

Student presentations: Nickii Arcado, Emily Sparkman

- APEC Leaders' Declarations: 1993, 1994, 1998, 2003, 2007, 2010, 2011, 2014, and 2018
   (https://www.apec.org/Meeting-Papers/Leaders-Declarations?SelectedYear=-1&Page=2) and APEC

   Secretariat fact sheet (https://www.apec.org/About-Us/About-APEC/Achievements-and-Benefits)
- Fact Sheet on ASEAN Economic Community (<a href="https://asean.org/our-communities/economic-community/">https://asean.org/our-communities/economic-community/</a>)
- ASEAN Integration Report 2019, Institute for Democracy and Economic Affairs, September 2019 (https://asean.org/wp-content/uploads/2021/03/8.-ASEAN-integration-report-2019.pdf)
- Bishkek Declaration Documents of the Shanghai Cooperation Organization, June 2019 (http://eng.sectsco.org/documents/)
- "ASEAN, EAS and APEC: What Russia Achieved in 2018," Russian International Affairs Council, November 2018 (<a href="https://russiancouncil.ru/en/analytics-and-comments/analytics/asean-eas-and-apec-what-russia-achieved-in-2018/">https://russiancouncil.ru/en/analytics-and-comments/analytics/asean-eas-and-apec-what-russia-achieved-in-2018/</a>)
- "The East Asia Summit and APEC Leaders' Meeting," Matthew Goodman and Amy Searight, CSIS, October 2019 (https://www.csis.org/analysis/east-asia-summit-and-apec-leaders-meeting)
- Wendy Cutler and Kurt Tong, "How the U.S. Can Show Its Once Again a Player in Asia," The Hill, 11 November 2021 (https://thehill.com/opinion/international/581152-how-the-us-can-show-its-once-

<u>again-an-economic-player-in-asia/</u>) and "How to Advance Biden's Plan for Inclusion, Climate and Innovation in the Indo-Pacific," The Hill, 6 May 2021 (<a href="https://thehill.com/opinion/international/552166-how-to-advance-bidens-plan-for-inclusion-climate-and-innovation-in-asia/">https://thehill.com/opinion/international/552166-how-to-advance-bidens-plan-for-inclusion-climate-and-innovation-in-asia/</a>)

- "APEC Leaders and Their Silly Shirts," Los Angeles Times, 2011 (<a href="https://www.latimes.com/la-fg-apec-silly-shirts-pictures-photogallery.html">https://www.latimes.com/la-fg-apec-silly-shirts-pictures-photogallery.html</a>)
  - o Strengths and weaknesses of APEC and ASEAN-centric approaches
    - As organizations, and as economic policy problem solvers
    - Shifting targets for established institutions
  - China's alternative architectures SCO, AIIB and Belt and Road?

## <u>September 26</u> – Opening Japan vs. Opening China – Macro and Micro Approaches

Student Presentations: Cristy Cui

- The Structural Impediments Initiative: An Example of Bilateral Trade Negotiation, Mitsuo Matsushita, Michigan Journal of International Law, 1990 (<a href="https://repository.law.umich.edu/cgi/viewcontent.cgi?article=1649&context=mjil">https://repository.law.umich.edu/cgi/viewcontent.cgi?article=1649&context=mjil</a>)
- U.S.-Japan Framework Agreement of 1993
   (https://tcc.export.gov/Trade Agreements/All Trade Agreements/Japan Framework AG.asp) and Structural Impediments Initiative Report of 1990
   (https://tcc.export.gov/Trade Agreements/All Trade Agreements/exp 005583.asp)
- Kurt Tong, "Revolutionizing America's Japan Policy," Foreign Policy, Winter 1996 (https://www.jstor.org/stable/1148977?seq=1)
- PIIE Briefing 15-1: "Toward a U.S.-China Investment Treaty," February 2015, pp. 24-45 (https://www.piie.com/sites/default/files/publications/briefings/piieb15-1.pdf)
- 2016 SED Outcomes Fact Sheet (<a href="https://www.treasury.gov/press-center/press-releases/Pages/j10485.aspx">https://www.treasury.gov/press-center/press-ges/j10485.aspx</a>) and 2015 SED Outcomes Fact Sheet (<a href="https://www.treasury.gov/press-center/press-releases/Pages/j10092.aspx">https://www.treasury.gov/press-center/press-releases/Pages/j10092.aspx</a>)
- "Assessing the U.S.-China Strategic and Economic Dialogue," The Diplomat, Daniel B. Wright, July 2016 (https://thediplomat.com/2016/07/assessing-the-us-china-strategic-and-economic-dialogue/)
- USTR Section 301 Findings, March 2018, pp. 19-65 (https://ustr.gov/sites/default/files/Section%20301%20FINAL.PDF)
- U.S.-China Phase One Economic and Trade Agreement Fact Sheets, USTR, January 2020
   (https://ustr.gov/countries-regions/china-mongolia-taiwan/peoples-republic-china/phase-one-trade-agreement/fact-sheets)

- "What's Inside the U.S.-China Phase One Deal?", CSIS, January 2020 (<a href="https://www.csis.org/analysis/whats-inside-us-china-phase-one-deal">https://www.csis.org/analysis/whats-inside-us-china-phase-one-deal</a>)
  - o Relative merits of structural reform, market access and quantitative target approaches
    - Aligning leverage and outcomes
  - o Seeking massive change from an ally versus seeking it from a nemesis
  - o Lessons of "Japanophobia" for "China Decoupling"

### **October 3** – Financial Crises and National Responses

Student Presentations: Jenny Kim

- Masahiro Kawai, "From the Chiang Mai Initiative to an Asian Monetary Fund," ADB Institute, May 2015 (https://www.adb.org/sites/default/files/publication/160056/adbi-wp527.pdf
- Asian Century Institute, "Chiang Mai Initiative: An Asian IMF?," April 2017 (https://asiancenturyinstitute.com/economy/248-chiang-mai-initiative-an-asian-imf)
- Lael Brainard, "Capitalism Unhinged: The IMF and the Lessons of the Last Financial Crisis, Brookings Institution, January 2002 (<a href="https://www.brookings.edu/opinions/capitalism-unhinged-the-imf-and-the-lessons-of-the-last-financial-crisis/">https://www.brookings.edu/opinions/capitalism-unhinged-the-imf-and-the-lessons-of-the-last-financial-crisis/</a>)
- Karube Kensuke, "Lessons of the 1997 Financial Crisis in Japan," Nippon.com, October 2017 (<a href="https://www.nippon.com/en/currents/d00360/">https://www.nippon.com/en/currents/d00360/</a>)
- Bank for International Settlements, "The international financial crisis: timeline, impact and policy responses in Asia and the Pacific," pp. 21-49 and 68-70
   (<a href="https://www.bis.org/publ/bppdf/bispap52c.pdf">https://www.bis.org/publ/bppdf/bispap52c.pdf</a>)
- Shaun Breslin, "Paradigm(s) Shifting? Responding to China's Response to the Global Financial Crisis," Oxford Scholarship Online, 2012 (<a href="https://oxford.universitypressscholarship.com/view/10.1093/acprof:oso/9780199641987.001.0001/acprof-9780199641987-chapter-12">https://oxford.universitypressscholarship.com/view/10.1093/acprof:oso/9780199641987.001.0001/acprof-9780199641987-chapter-12</a>)
- Philip Stevens, "A crisis that opened the gates for China," Financial Times, September 2018 (https://www.ft.com/content/ca0ea28c-b684-11e8-b3ef-799c8613f4a1)
- Turkish Central Bank, "Response of the G20 to the Global Financial Crisis," August 2015 (<a href="https://www.ecb.europa.eu/pub/conferences/shared/pdf/g20framework/Keynote\_Turalay.pdf?edf74f">https://www.ecb.europa.eu/pub/conferences/shared/pdf/g20framework/Keynote\_Turalay.pdf?edf74f</a> fbc8baa7e40d93a445ead7067)
  - o Differences and similarities between the 1997 and 2008 financial crises

- Impact on Asia and politics of response
- Roles of China, Japan, and the United States
- National responses and international/institutional responses
- o Implications for 2020 global economic crisis and future of Asian role

#### October 17 – Positive Leverage and Negative Leverage

#### Student Presentations: Travis Dove

- Daniel Drezner, "The United States of Sanctions," Foreign Affairs, September/October 2021 (<a href="https://www.foreignaffairs.com/articles/united-states/2021-08-24/united-states-sanctions">https://www.foreignaffairs.com/articles/united-states/2021-08-24/united-states-sanctions</a>)
- Fareed Zakaria, "America's excessive reliance on sanctions will come back to haunt it," Washington Post, September 2020 (<a href="https://www.washingtonpost.com/opinions/global-opinions/americas-excessive-reliance-on-sanctions-will-come-back-to-haunt-it/2020/08/27/e73a9004-e89c-11ea-970a-64c73a1c2392\_story.html">https://www.washingtonpost.com/opinions/global-opinions/americas-excessive-reliance-on-sanctions-will-come-back-to-haunt-it/2020/08/27/e73a9004-e89c-11ea-970a-64c73a1c2392\_story.html</a>)
- Daniel Verdier and Byungwon Woo, "Why Rewards Are Better than Sanctions," Politics and Economics, July 2011, pp. 220-21 & 232-33 (<a href="https://polisci.osu.edu/sites/polisci.osu.edu/files/Why%20are%20rewards%20better%20than%20sanctions.pdf">https://polisci.osu.edu/sites/polisci.osu.edu/files/Why%20are%20rewards%20better%20than%20sanctions.pdf</a>)
- Premesha Saha, "From Pivot to Asia to Trump's ARIA: What Drives the U.S.'s Current Asia Policy?" Observer Research Foundation, February 2020 (<a href="https://www.orfonline.org/wp-content/uploads/2020/02/ORF">https://www.orfonline.org/wp-content/uploads/2020/02/ORF</a> OccasionalPaper 236 ARIA.pdf)
- Hillary Clinton, Remarks at the First Senior Officials Meeting (SOM) for APEC, March 2011 (https://2009-2017-fpc.state.gov/157988.htm)
- Matthew Goodman, "ARIA in Action: The Benefits of Economic Diplomacy," Testimony to SFRC Subcommittee on East Asia, May 2019 (<a href="https://csis-website-prod.s3.amazonaws.com/s3fs-public/congressional\_testimony/190522\_MattGoodman\_Testimony.pdf">https://csis-website-prod.s3.amazonaws.com/s3fs-public/congressional\_testimony/190522\_MattGoodman\_Testimony.pdf</a>)
- Ivan Timofeev, "Asia Under Fire of U.S. Sanctions," Russian International Affairs Council,
  November 2019 (<a href="https://russiancouncil.ru/en/analytics-and-comments/analytics/asia-under-fire-of-us-sanctions/">https://russiancouncil.ru/en/analytics-and-comments/analytics/asia-under-fire-of-us-sanctions/</a>)
- Richard MacGregor, "China's Doghouse is Smaller Than It Looks," Bloomberg, April 2019 (https://www.bloomberg.com/opinion/articles/2019-04-05/china-s-economic-bullying-isn-t-as-fearsome-as-people-think?sref=TZEt22gR)
- Madhu Ravindran, "China's Potential for Coercion in the South China Sea Disputes," Journal of Current Southeast Asian Affairs, 2012 (<a href="https://journals.sub.uni-hamburg.de/giga/jsaa/article/view/572/570.html">https://journals.sub.uni-hamburg.de/giga/jsaa/article/view/572/570.html</a>)

- o Strengths and weaknesses of positive leverage and negative leverage approaches
  - Theories of the case: timespan, objectives, relationship factors, sustainability, scope and evasion
  - Some case studies in Asia: DPRK and maritime disputes

## October 24 (via Zoom) – Strategic Trade, Technology and "Decoupling"

Student Presentations: Tristan Paci

- White House Executive Orders:
  - May 15, 2019: Executive Order on Securing the Information and Communications
     Technology and Services Supply Chain (<a href="https://www.whitehouse.gov/presidential-actions/executive-order-securing-information-communications-technology-services-supply-chain/">https://www.whitehouse.gov/presidential-actions/executive-order-securing-information-communications-technology-services-supply-chain/</a>)
  - August 6, 2020: Executive Order on Ensuring Essential Medicines, Medical
    Countermeasures, and Critical Inputs Are Made in the United States
    (<a href="https://www.whitehouse.gov/presidential-actions/executive-order-ensuring-essential-medicines-medical-countermeasures-critical-inputs-made-united-states/">https://www.whitehouse.gov/presidential-actions/executive-order-ensuring-essential-medicines-medical-countermeasures-critical-inputs-made-united-states/</a>)
  - August 6, 2020: Executive Order on Addressing the Threat Posed by WeChat (<a href="https://www.whitehouse.gov/presidential-actions/executive-order-addressing-threat-posed-wechat/">https://www.whitehouse.gov/presidential-actions/executive-order-addressing-threat-posed-wechat/</a>)
  - August 6, 2020: Executive Order on Addressing the Threat Posed by TikTok (<a href="https://www.whitehouse.gov/presidential-actions/executive-order-addressing-threat-posed-tiktok/">https://www.whitehouse.gov/presidential-actions/executive-order-addressing-threat-posed-tiktok/</a>)
- Alan Dupont, "Mitigating the New Cold War," Centre for Independent Studies, May 2020 (<a href="https://www.cis.org.au/app/uploads/2020/05/ap8.pdf">https://www.cis.org.au/app/uploads/2020/05/ap8.pdf</a>?)
- Sylvia Nasar, "The Risky Allure of 'Strategic Trade," New York Times, February 1993
   (<a href="https://www.nytimes.com/1993/02/28/weekinreview/the-risky-allure-of-strategic-trade.html">https://www.nytimes.com/1993/02/28/weekinreview/the-risky-allure-of-strategic-trade.html</a>)
- Dominik Kummerle and Christian Schmidkonz, "China's Strategic Trade Policy: Industry-Specific
  Trade and Investment Measures in the Value Chain of the Crystalline Silicon Photovoltaic Industry in
  the Age of WTO Legislation," Munich Business School, February 2014 (<a href="https://www.munich-business-school.de/fileadmin/user\_upload/forschung/working\_papers/mbs-wp-2014-02.pdf">https://www.munich-business-school.de/fileadmin/user\_upload/forschung/working\_papers/mbs-wp-2014-02.pdf</a>)
- Dylan Gerstel and Matthew Goodman, "From Industrial Policy to Innovation Strategy," Center for Security and International Studies, September 2020 (<a href="https://csis-website-prod.s3.amazonaws.com/s3fs-public/publication/200901\_Gerstel\_InnovationStrategy\_FullReport\_FINAL\_0.pdf">https://csis-website-prod.s3.amazonaws.com/s3fs-public/publication/200901\_Gerstel\_InnovationStrategy\_FullReport\_FINAL\_0.pdf</a>)
- Georgetown University Initiative for Dialogue on U.S.-China Issues, "U.S.-China De-Coupling, Separating Myth from Reality," videoconference, June 2020 (<a href="https://uschinadialogue.georgetown.edu/events/u-s-china-decoupling-separating-myth-from-reality">https://uschinadialogue.georgetown.edu/events/u-s-china-decoupling-separating-myth-from-reality</a>)
  - Pros and Cons in the debates over "strategic trade" and industrial policy
  - o Trends toward de-coupling how far will it go and can one game out the future?

<u>October 31 (via Zoom)</u> – The Role of the Middle Powers: Japan, Korea, Australia ... India?

#### Student Presentations: David Park

- Matthew Goodman, "Assessing Abe's Economic Statecraft," CSIS, August 2020
   <a href="mailto:(https://www.csis.org/analysis/assessing-abes-economic-statecraft?utm\_source=CSIS+All&utm\_campaign=9af05d5385-">https://www.csis.org/analysis/assessing-abes-economic-statecraft?utm\_source=CSIS+All&utm\_campaign=9af05d5385-</a>
   <a href="mailto:EMAIL\_CAMPAIGN\_2018\_11\_29\_08\_02\_COPY\_01&utm\_medium=email&utm\_term=0\_f326fc46">https://www.csis.org/analysis/assessing-abes-economic-statecraft?utm\_source=CSIS+All&utm\_campaign=9af05d5385-</a>
   <a href="mailto:EMAIL\_CAMPAIGN\_2018\_11\_29\_08\_02\_COPY\_01&utm\_medium=email&utm\_term=0\_f326fc46">https://www.csis.org/analysis/assessing-abes-economic-statecraft?utm\_source=CSIS+All&utm\_campaign=9af05d5385-</a>
   <a href="mailto:EMAIL\_CAMPAIGN\_2018\_11\_29\_08\_02\_COPY\_01&utm\_medium=email&utm\_term=0\_f326fc46">https://www.csis.org/analysis/assessing-abes-economic-statecraft?utm\_source=CSIS+All&utm\_campaign=9af05d5385-</a>
   <a href="mailto:EMAIL\_CAMPAIGN\_2018\_11\_29\_08\_02\_COPY\_01&utm\_medium=email&utm\_term=0\_f326fc46">https://www.csis.org/analysis/assessing-abes-economic-statecraft?utm\_source=CSIS+All&utm\_campaign=9af05d5385-</a>
   <a href="mailto:EMAIL\_CAMPAIGN\_2018\_11\_29\_08\_02\_COPY\_01&utm\_medium=email&utm\_term=0\_f326fc46">https://www.csis.org/analysis/assessing-abes-economic-statecraft?utm\_source=CSIS+All&utm\_source=CSIS+Al
- Mireya Solis, "China, Japan and the Art of Economic Statecraft," Brookings Institution, February 2020 (https://www.brookings.edu/wp-content/uploads/2020/02/FP 202002 china japan solis.pdf)
- Francoise Nicolas, "Korea and Economic Integration in East Asia: The Way Forward," Korea Economic Institute, 2012
   (<a href="http://keia.org/sites/default/files/publications/kei\_koreaseconomy\_13\_francoisenicolas.pdf">http://keia.org/sites/default/files/publications/kei\_koreaseconomy\_13\_francoisenicolas.pdf</a>)
- Kathryn Botto, "South Korea Beyond Northeast Asia: How Seoul Is Deepening Ties with India and ASEAN," Carnegie Endowment for International Peace, October 2021
   (https://carnegieendowment.org/2021/10/19/south-korea-beyond-northeast-asia-how-seoul-is-deepening-ties-with-india-and-asean-pub-85572)
- Essays by Greg Earl, Geoff Raby and Shamim Razavi, in "Disruptive Asia 2018, Asia Society, 2018
   (<a href="https://asiasociety.org/sites/default/files/inline-files/Asia%20Society%20Disruptive%20Asia%20Final.pdf">https://asiasociety.org/sites/default/files/inline-files/Asia%20Society%20Disruptive%20Asia%20Final.pdf</a>)
- Jeffrey Wilson, "Blueprints for the Indo-Pacific," Perth USAsia Centre, January 2019
   (https://perthusasia.edu.au/PerthUSAsia/media/Perth\_USAsia/Speakers/Final-Blueprints-for-the-Indo-Pacific-PUASC-LOW-RES.pdf)
- Riya Sinha and Niara Sareen, "India's Limited Trade Connectivity with South Asia," Brookings Institution, May 2020 (<a href="https://www.brookings.edu/wp-content/uploads/2020/05/Trade-Policy-Brief.pdf">https://www.brookings.edu/wp-content/uploads/2020/05/Trade-Policy-Brief.pdf</a>)
- Harsha Singh and Anubhav Gupta, "India's Future in Asia: The APEC Opportunity," Asia Society Policy Institute, March 2016 (https://asiasociety.org/files/ASPI APEC fullreport online.pdf)
- Indian Ocean Rim Association (<a href="https://en.wikipedia.org/wiki/Indian Ocean Rim Association">https://en.wikipedia.org/wiki/Indian Ocean Rim Association</a>)
- Bruce Jones, ed, "Balancing Act: Major Powers and the Global Response to U.S.-China Great Power Relations," Brookings Institution, July 2020 (<a href="https://www.brookings.edu/wp-content/uploads/2020/07/FP">https://www.brookings.edu/wp-content/uploads/2020/07/FP</a> 20200701 us china competition.pdf)

- Strengths and weaknesses of the middle powers in influencing regional policy
- O Balancing separate priorities and collective action amid U.S.-China competition

## November 7 – Negotiating in Asia, A Tactical View

Student Presentations: Allen Krieg

- o "Four Things You Need to Know Before You Start Negotiating in Asia," Templar Advisors, October 2020 (https://templaradvisors.com/blog/negotiating-in-asia)
- Howard Van Zandt, "How to Negotiate in Japan," Harvard Business Review, November 1970 (<a href="https://hbr.org/1970/11/how-to-negotiate-in-japan">https://hbr.org/1970/11/how-to-negotiate-in-japan</a>)
- "The 1999 U.S.-China Bilateral Trade Agreement and the Battle for PNTR," Petersen Institute for International Economics, December 2001
   (https://www.piie.com/publications/chapters\_preview/392/06iie3624.pdf)
- O Atsushi Kusano, "Deregulation in Japan and the Role of "Naiatsu" (Domestic Pressure)," Social Science Japan Journal, 1999 (https://www.jstor.org/stable/30209746?seq=1)
- Min Gyo Koo and Whasun Jho, 'Linking domestic decision-making and international bargaining results: beef and automobile negotiations between South Korea and the United States," International Relations of the Asia-Pacific, 2013 (https://www.jstor.org/stable/26155973?seq=1)
- Yongsun Paik and Rosalie L. Tung, "Negotiating with East Asians: How to Attain "Win-Win"
   Outcomes," Management International Review, 1999 (https://www.jstor.org/stable/40835778)
  - Negotiations as two-level games
    - Role of interest groups and politics
  - Cross-cultural negotiation in the trans-Pacific context

## **November 9** – America's Perspective: Changing Objectives and Growing Constraints

Student Presentations: Aaron McNicholas

- "Early American Trade with China," University of Illinois, 2006 (http://teachingresources.atlas.illinois.edu/chinatrade/introduction04.html)
- "Secretary of State John Hay and the Open Door in China," Department of State Historian (<a href="https://history.state.gov/milestones/1899-1913/hay-and-china">https://history.state.gov/milestones/1899-1913/hay-and-china</a>)
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- I.M. Destler, "America's Uneasy Relationship with Free Trade," Harvard Business Review, April 2016 (https://hbr.org/2016/04/americas-uneasy-history-with-free-trade)

- Evan Feigenbaum, "America, Trade and Asia," Council on Foreign Relations, May 2010 (<a href="https://www.cfr.org/blog/america-trade-and-asia">https://www.cfr.org/blog/america-trade-and-asia</a>)
- Robert Lighthizer, "How to Make Trade Work for Workers," Foreign Affairs, July 2020 (https://www.foreignaffairs.com/articles/united-states/2020-06-09/how-make-trade-work-workers)
- Edward Allen, "No, Biden Will Not End Trade Wars," Foreign Policy, October 2020 (https://foreignpolicy.com/2020/10/02/biden-trump-trade-wars-election-2020/)
- The Biden Plan to Ensure the Future is "Made in America by All of America's Workers, October 2020 (https://joebiden.com/made-in-america/#)
  - U.S. economic interests in Indo-Pacific from historical perspective
    - O Changes in goals and perceived threats and opportunities
  - O Domestic political constraints to U.S. policy
    - O Shaping of internationalism, imperialism, isolationism and protectionism

November 14 – China's Perspective: Expanding Objectives and Unclear Constraints

Guest Speaker: Jamie Yip

Student Presentations: TBD

- Sheng Bin, "China's Trade Development Strategy and Trade Policy Reforms," International Institute for Sustainable Development, April 2015 (<a href="https://www.iisd.org/system/files/publications/china-trade-strategy-policy-reform.pdf">https://www.iisd.org/system/files/publications/china-trade-strategy-policy-reform.pdf</a>)
- "The China Dashboard," Asia Society Policy Institute and Rhodium Group, read Fall 2017 and Summer 2020 (<a href="https://chinadashboard.asiasociety.org/china-dashboard/">https://chinadashboard.asiasociety.org/china-dashboard/</a>)
- Peter Petri and Michael Plummer, "China Could Help Stop the Freefall in Global Economic Cooperation," Brookings Institution, July 2020 (<a href="https://www.brookings.edu/blog/order-from-chaos/2020/07/16/china-could-help-stop-the-freefall-in-global-economic-cooperation/">https://www.brookings.edu/blog/order-from-chaos/2020/07/16/china-could-help-stop-the-freefall-in-global-economic-cooperation/</a>)
- Andrew Chatzky and James McBride, "China's Massive Belt and Road Initiative," Council on Foreign Relations, January 2020 (<a href="https://www.cfr.org/backgrounder/chinas-massive-belt-and-road-initiative">https://www.cfr.org/backgrounder/chinas-massive-belt-and-road-initiative</a>)
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- Ryohei Yasoshima, "U.S. moves to shut China out of shaping APEC data protections," Nikkei Asian Review, August 2020 (<a href="https://asia.nikkei.com/Politics/International-relations/US-China-tensions/US-moves-to-shut-China-out-of-shaping-APEC-data-protections">https://asia.nikkei.com/Politics/International-relations/US-China-tensions/US-moves-to-shut-China-out-of-shaping-APEC-data-protections</a>)
- Wendy Cutler and Andrew Chatzky, "Curbing State-Driven Trade Policies: How to Craft New & Effective Approaches To Counter Distortive Practices," Asia Society Policy Institute, July 2020

(https://asiasociety.org/sites/default/files/2020-07/Issue%20Brief\_Curbing%20State-Driven%20Trade%20Policies.pdf)

- Foreign Affairs Ask the Experts, "Is U.S. Foreign Policy Too Hostile to China?" Foreign Affairs,
  October 2021 (<a href="https://www.foreignaffairs.com/ask-the-experts/2021-10-19/us-foreign-policy-too-hostile-china">https://www.foreignaffairs.com/ask-the-experts/2021-10-19/us-foreign-policy-too-hostile-china</a>)
- Xiaolu Wang and Yixiao Zhou, "Forecasting China's Economic Growth by 2020 and 2030,"
   Australian National University, July 2016, pp. 77-85 (<a href="http://press-files.anu.edu.au/downloads/press/n1906/pdf/ch04.pdf">http://press-files.anu.edu.au/downloads/press/n1906/pdf/ch04.pdf</a>)
- Trista Kelley, "Here's why China won't overtake the US as the largest economy anytime soon," Market Insider, January 2020 (<a href="https://markets.businessinsider.com/news/stocks/capital-economics-china-gdp-wont-eclipse-us-amid-peak-globalisation-2020-1-1028835115">https://markets.businessinsider.com/news/stocks/capital-economics-china-gdp-wont-eclipse-us-amid-peak-globalisation-2020-1-1028835115</a>)
  - China's top foreign and domestic economic policy objectives
    - External incompatibility; impact on other nations
    - O Internal sustainability of China's approach
  - O Long-term prospects for economic growth and role in the region

November 21 – Thucydides As Economist ... Is Economics the Ballast or the Tempest?

## Student Presentations: John Indergaard

- Hal Brands and Michael Beckley, "China is a Declining Power and That's the Problem," Foreign Policy, September 2021 (<a href="https://foreignpolicy.com/2021/09/24/china-great-power-united-states/">https://foreignpolicy.com/2021/09/24/china-great-power-united-states/</a>)
- "U.S. Relations with China, 1949-2020," Council on Foreign Relations, October 2020 (https://www.cfr.org/timeline/us-relations-china)
- Japan's Decision for War In 1941: Some Enduring Lessons, Jeffrey Record, February 2019 (<a href="https://www.hsdl.org/?view&did=38470">https://www.hsdl.org/?view&did=38470</a>)
- Chi-Wei Su and Yu Song, "Does political conflict affect bilateral trade or vice versa? Evidence from Sino-U.S. relations," Economic Research, November 2019 (https://www.tandfonline.com/doi/full/10.1080/1331677X.2019.1694559)
- Jong-wha Lee, "Does Trade Integration Contribute to Peace?," Journal of Development Economics, February 2016 (https://onlinelibrary.wiley.com/doi/abs/10.1111/rode.12222)
- Kurt Tong, "Hong Kong and the Limits of Decoupling," Foreign Affairs, July 2021 (<a href="https://www.foreignaffairs.com/articles/asia/2021-07-14/hong-kong-and-limits-decoupling">https://www.foreignaffairs.com/articles/asia/2021-07-14/hong-kong-and-limits-decoupling</a>)
  - O Indo-Pacific's long-term trajectory on economic diplomacy and
    - Likelihood of conflict or cooperation

# <u>November 28</u> – Project Preparation and Consultations

O Scheduled consultations regarding final project

# <u>**December 5**</u> – Student-Initiated Discussion Topic

O Lecture, guest lecture or debate on topic chosen by students